

# New results for the 2-interval pattern problem

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# Outline

- Preliminaries
  - Notations
  - The 2-interval pattern problem
- Two polynomial time algorithms
- Np-completeness proof

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# Preliminaries

- 1-interval

- An interval (1-interval) is defined by its endpoints as follows:

- $M = [a : b]$ ,  $N = [c : d]$

- 1-intervals in precedence

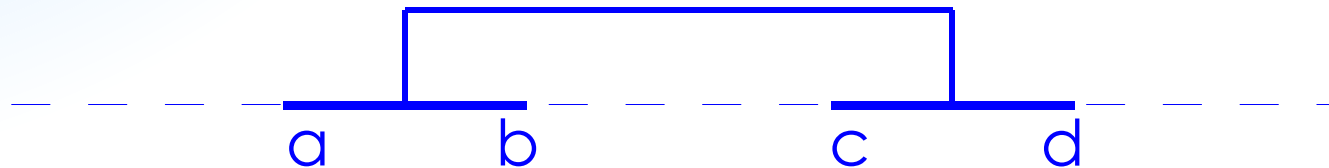


- $M < N$  iff  $b < c$

- $M$  and  $N$  are disjoint ( $M \cap N = \emptyset$ ) iff  $M < N$  or  $N < M$

# Preliminaries

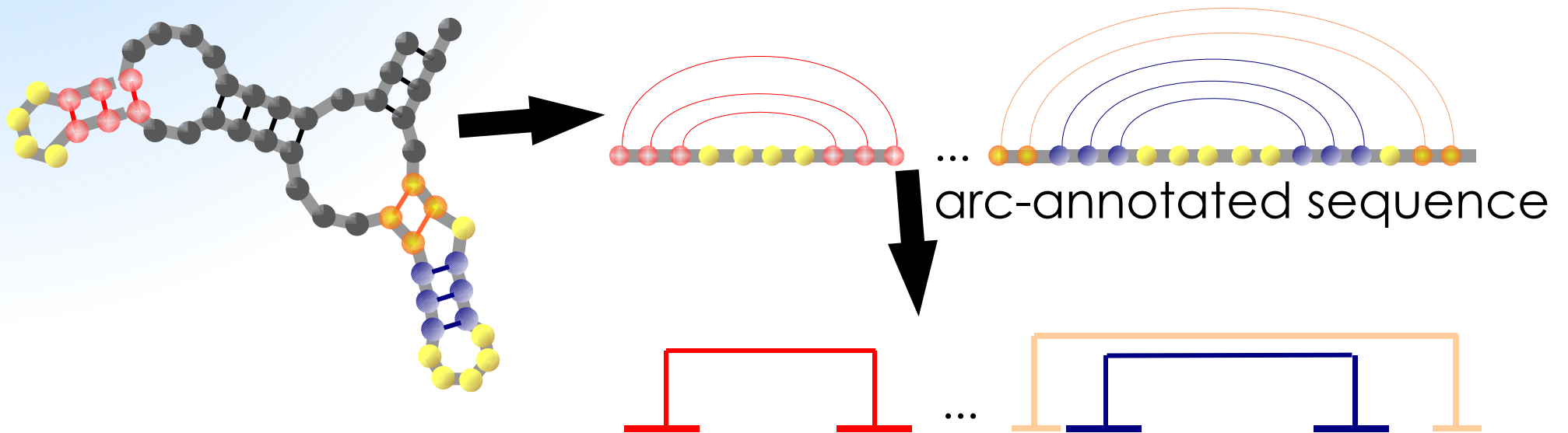
- 2-interval
  - the disjoint union of two 1-intervals on a line.
  - $D = (M, N)$  where  $M = [a : b] < N = [c : d]$



- Two 2-intervals  $D_1 = (M_1, N_1)$  and  $D_2 = (M_2, N_2)$  are comparable iff:
  - $(M_1 \cap M_2) \cup (M_1 \cap N_2) \cup (N_1 \cap M_2) \cup (N_1 \cap N_2) = \emptyset$

# Preliminaries

- 2-intervals are macroscopic describers of RNA secondary structure



- 1-interval  $\equiv$  sequence of bases
- 2-interval  $\equiv$  a sequence of consecutive pair of bases, i.e. a stem

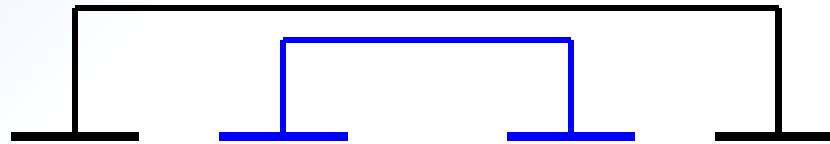
# Preliminaries

- Relationship between 2-intervals:

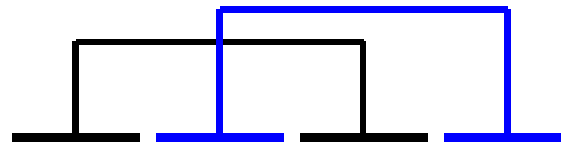
- $D_1 < D_2$



- $D_2 \sqsubset D_1$



- $D_1 \bowtie D_2$

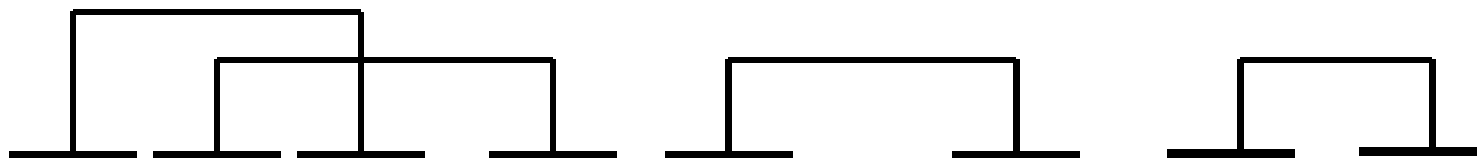


} transitive

- If  $D_1$  and  $D_2$  are comparable by  $<$ ,  $\sqsubset$  or  $\bowtie$  then they are disjoint !

# Preliminaries

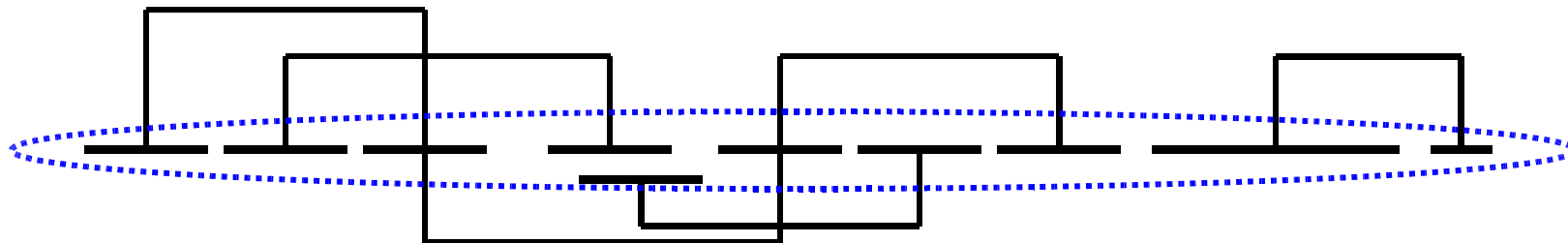
- R-comparability:
  - Two 2-intervals  $D_1$  and  $D_2$  are  $\alpha$ -comparable for some  $\alpha \in \{<, \sqsubset, \emptyset\}$  if  $D_1 \alpha D_2$  or  $D_2 \alpha D_1$ .
    - Example:  
 $D_1$  and  $D_2$  are  $<$ -comparable if  $D_1 < D_2$  or  $D_2 < D_1$
  - Extension to a set of 2-intervals
    - Example:  
 A set of  $\{<, \emptyset\}$ -comparable 2-intervals





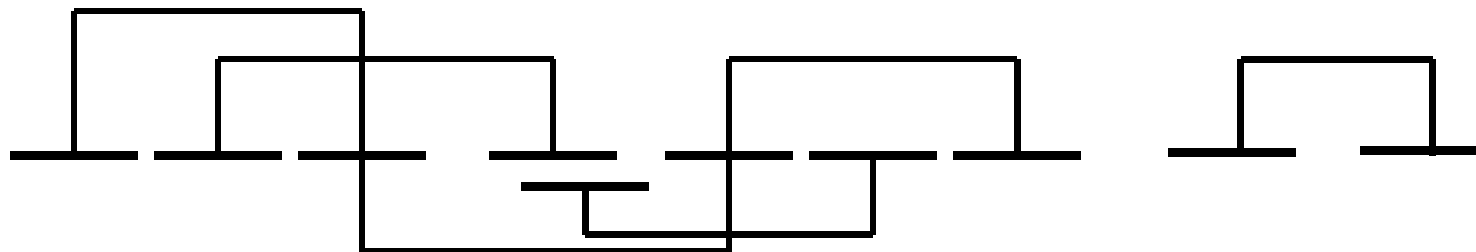
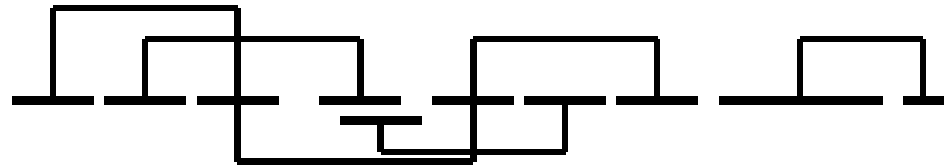
# Preliminaries

- Support:
  - Given a set  $D$  of 2-intervals, the support of  $D$  is the set of all 1-intervals involved in  $D$ .
  - **Unlimited support** : no restrictions



# Preliminaries

- Support:
  - Given a set  $D$  of 2-intervals, the support of  $D$  is the set of all 1-intervals involved in  $D$ .
  - Unlimited support
  - **Unitary support** : all 1-intervals in  $D$  are of the same size

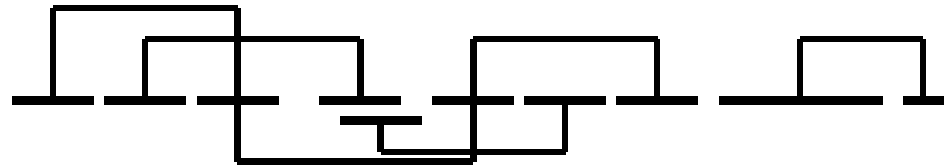


# Preliminaries

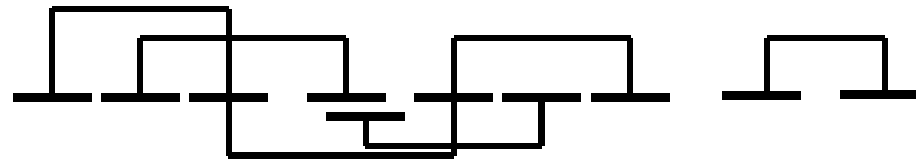
- Support:

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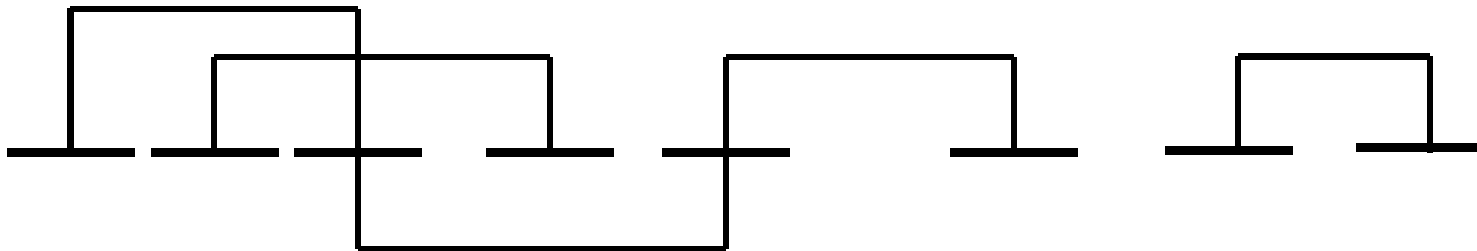
- Unlimited support



- Unitary support



- **Disjoint support** : all 1-intervals in  $D$  are of the same size and disjoint



# Preliminaries

- 2-interval Pattern Problem:
  - Instance :
    - A set of 2-intervals  $D$  over a support, a model  $R \subseteq \{<, \sqsubset, \emptyset\}$  and a positive integer  $k$
  - Question :
    - Is it possible to find a subset  $D' \subseteq D$  such that  $D'$  is  $R$ -comparable and  $|D'| \geq k$ ?

# Results

- Seven models for R and three restrictions on the support

2-INTERVAL PATTERN PROBLEM			
	SUPPORT		
MODEL	UNLIMITED	UNITARY	DISJOINT
$\{<, \sqsubset, \emptyset\}$	<b>NP-complete</b>		$O(n\sqrt{n})$ [MV80]
$\{\sqsubset, \emptyset\}$	<b>NP-complete</b>		$O(n^2\sqrt{n})$ ★
$\{<, \sqsubset\}$	$O(n^2)$		
$\{<, \emptyset\}$	<b>NP-complete</b> ★		?
$\{<\}$	$O(n \log n)$		
$\{\sqsubset\}$	$O(n \log n)$ ★ ●		
$\{\emptyset\}$	$O(n^2 \log n)$		

with  $n = |D|$

# Outline

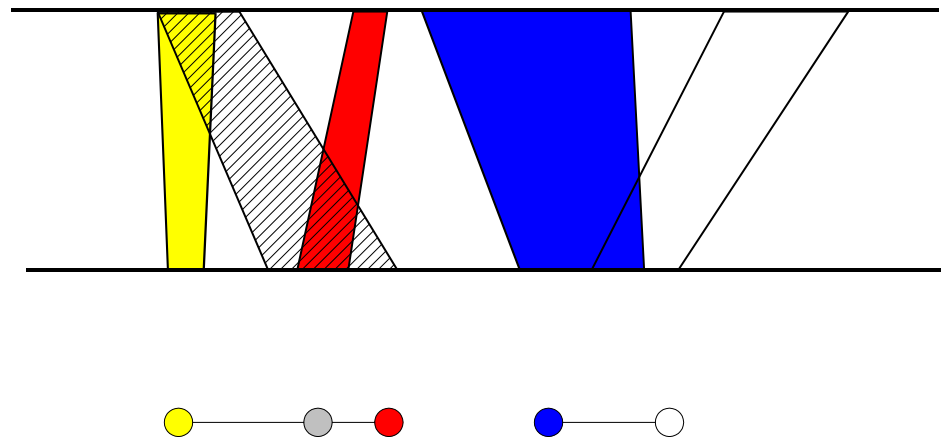
- Preliminaries
- Two polynomial time algorithms
  - 2-IP-UNL- $\{\sqsubset\}$
  - 2-IP-UNI- $\{\sqsubset, \emptyset\}$
- Np-completeness proof

# Improving the complexity of 2-IP-UNL- $\{\square\}$

- In [Via04], this problem is solvable in a  **$O(n^2)$**  time algorithm
- We propose a  **$O(n \log n)$**  time algorithm using *trapezoid graph*.

# Improving the complexity of 2-IP-UNL- $\{\square\}$

- A trapezoid graph is the **intersection graph** of a set of trapezoids between two lines.

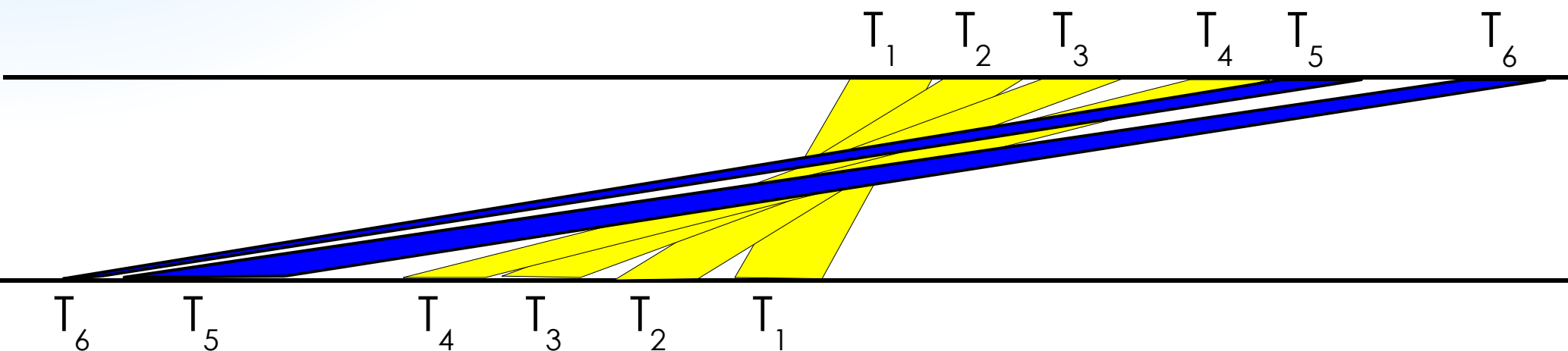
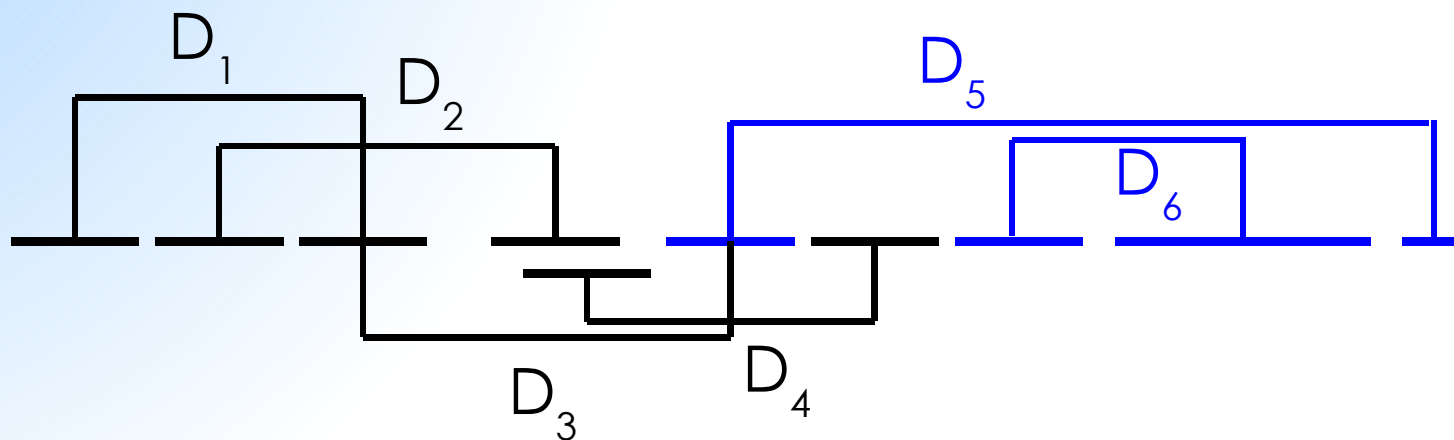




# Improving the complexity of 2-IP-UNL- $\{\square\}$

- Algorithm:
  - Given  $D = \{D_1, D_2, \dots, D_n\}$
  - For each  $D_i = ([x : y], [x' : y']) \in D$   
create  $T_i = ([x : y], [-y' : -x'])$
  - Let  $T = \{T_1, T_2, \dots, T_n\}$
  - $T$  is obtained in  $O(n)$  time

# Improving the complexity of 2-IP-UNL- $\{\sqsubset\}$



$D_k$  and  $D_l$  are  $\{\sqsubset\}$ -comparable iff  $T_k$  and  $T_l$  are non-intersecting

# Improving the complexity of 2-IP-UNL- $\{\square\}$

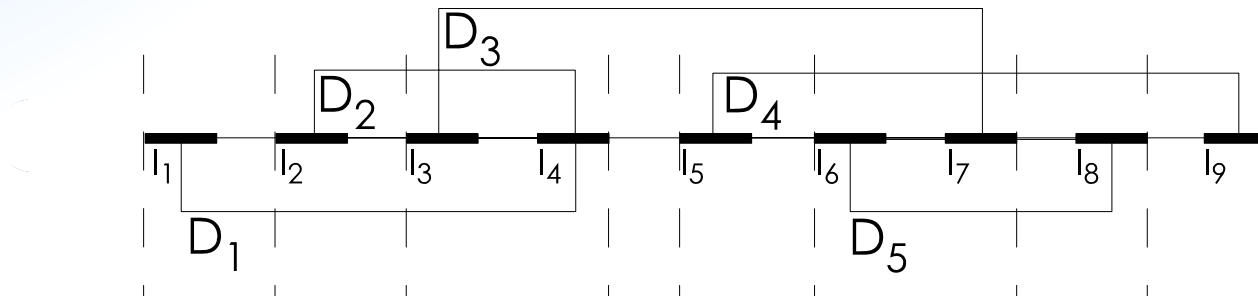
- [Felsner et al 97] have designed a  $O(n \log n)$  algorithm.
- Complexity analysis:
  - Construction in  $O(n)$  time
  - Find a solution in  $O(n \log n)$  time
  - Global complexity:  $O(n) + O(n \log n)$   
->  **$O(n \log n)$**

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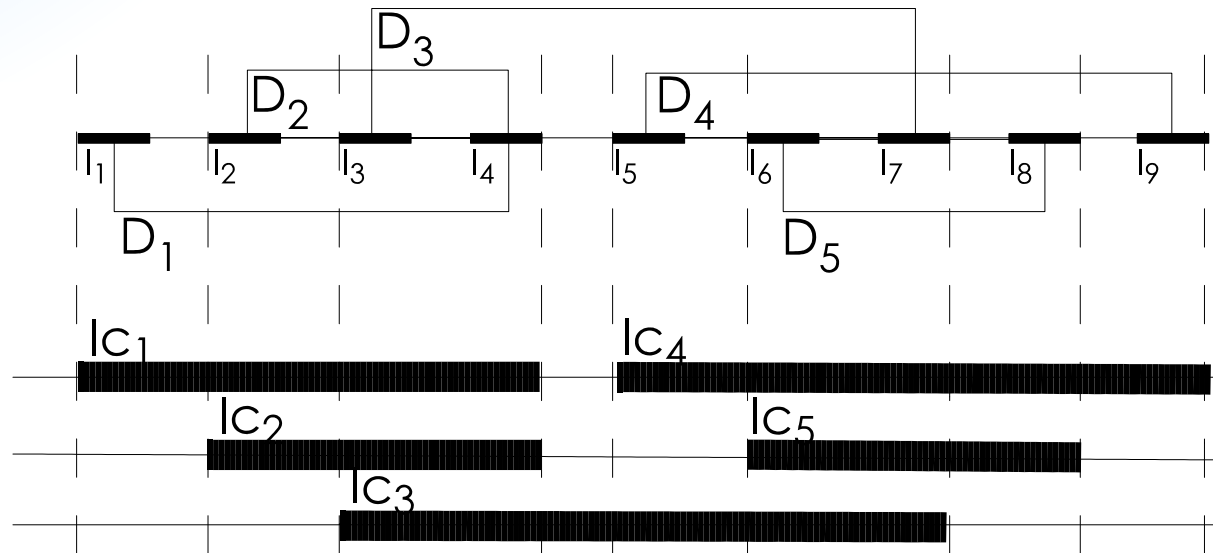
# A polynomial time algorithm for $2\text{-IP-DIS-}\{\square, \emptyset\}$

Given a set of 2-intervals **D** on a disjoint support



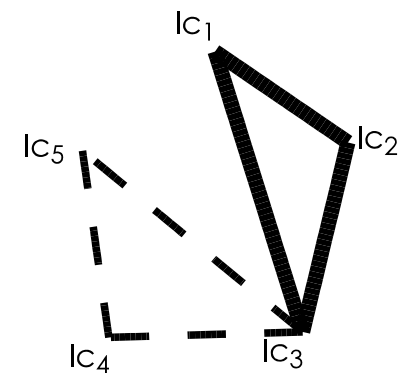
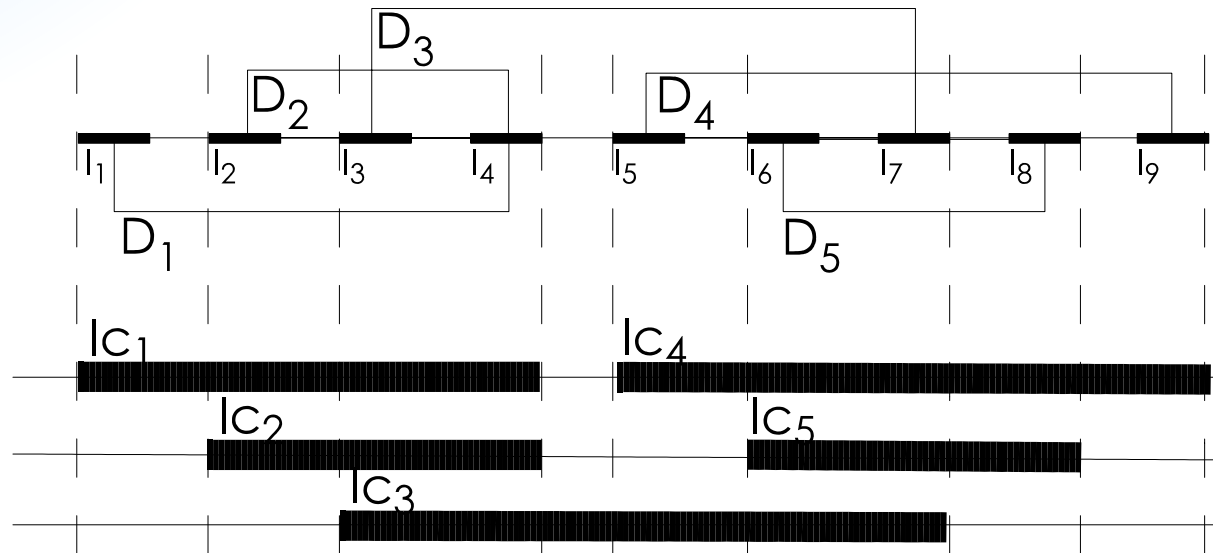
# A polynomial time algorithm for $2\text{-IP-DIS-}\{\square, \emptyset\}$

Create the corresponding interval cover set  $SI$



# A polynomial time algorithm for $2\text{-IP-DIS-}\{\square, \emptyset\}$

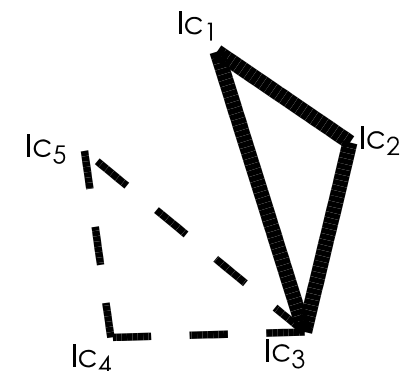
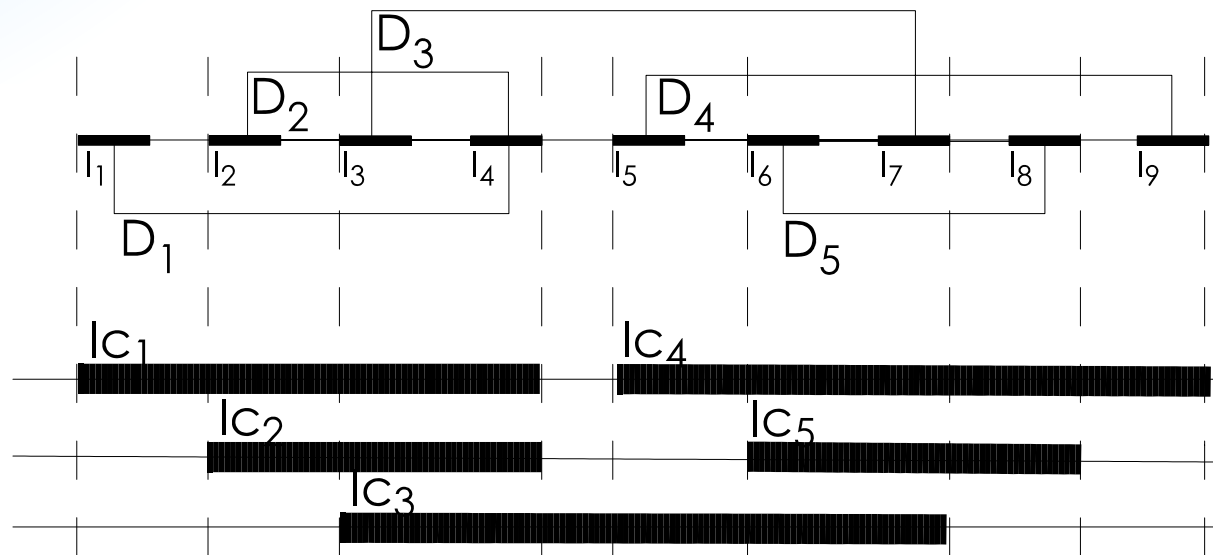
Create the corresponding interval graph  $\Omega(SI)$



# A polynomial time algorithm for 2-IP-DIS- $\{\square, \emptyset\}$

For each maximal clique  $C$  in  $\Omega(SI)$  (at most  $n$ ) [Fulkerson et al 65]

Verify the disjunction constraint



Clique	Max Card.
$C_1$	2 (e.g. $D_1 D_3$ )
$C_2$	3 ( $D_3 D_4 D_5$ )



# A polynomial time algorithm for $2\text{-IP-DIS-}\{\square, \emptyset\}$

- Complexity analysis:
  - Construction of the interval cover set in  **$O(n)$**  time
  - Construction of the interval graph in  **$O(n^2)$**  time
  - Finding all the maximal clique (at most  $n$ ) in  **$O(m+n)$**  time
    - Verify the disjunction constraint in  **$O(n \sqrt{n})$**
  - Global complexity of :  $O(n) + O(n^2) + O(m+n) + n \cdot O(n \sqrt{n}) \rightarrow$   **$O(n^2 \sqrt{n})$**

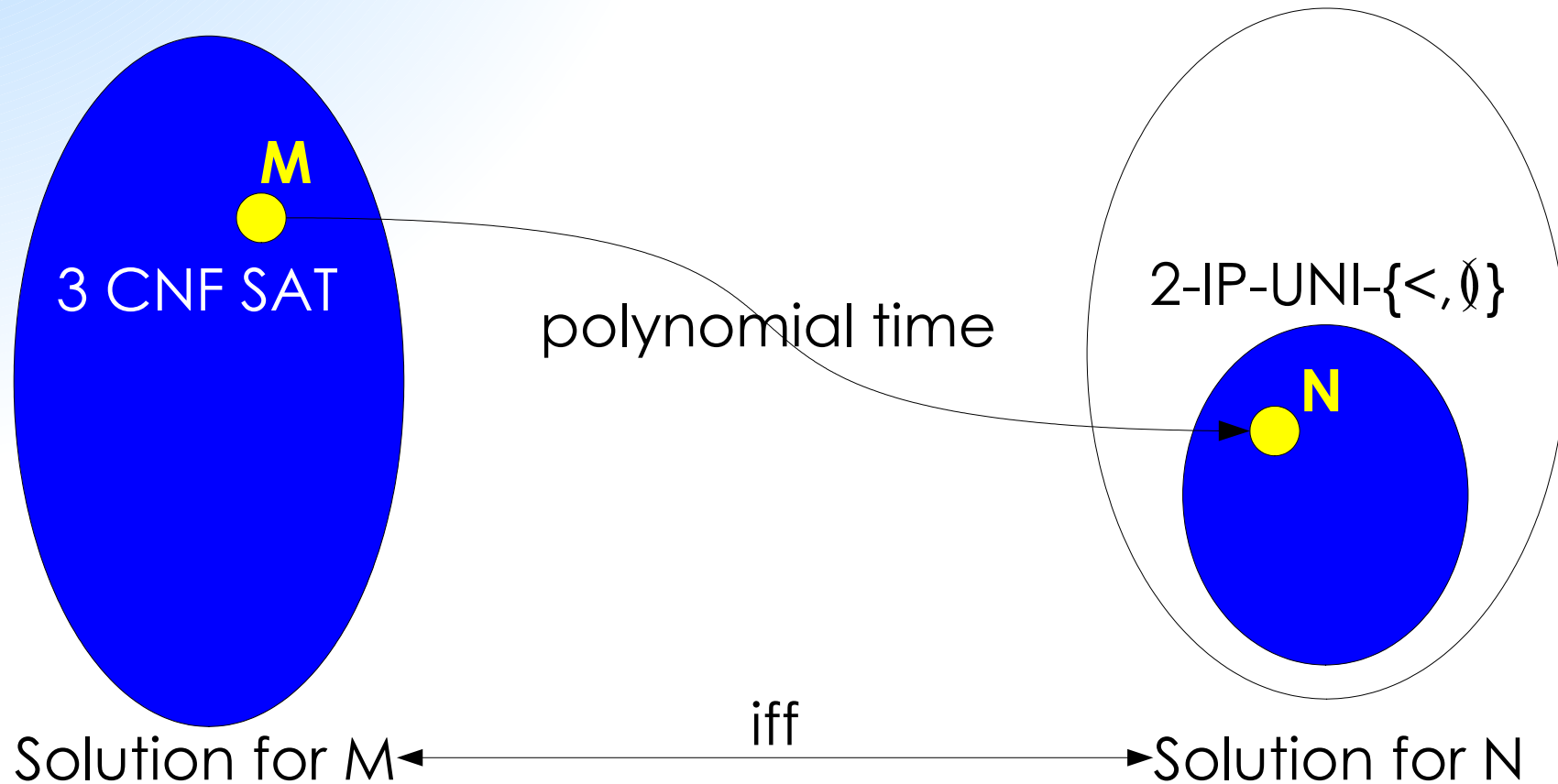
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- Preliminaries
- Two polynomial time algorithms
- Np-completeness proof
  - 2-IP-UNI- $\{<, \emptyset\}$  is NP-complete
  - 2-IP-UNI- $\{<, \emptyset\}$  is fixed-parameter tractable

# 2-IP-UNI- $\{<, \emptyset\}$ is NP-complete

- Rather technical proof using a reduction from the **Exact 3-CNF SAT problem**. (coming soon in the full version)
- Instance :
  - Conjunction of disjunction of boolean variables  
$$BF = (\bar{x}_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \bar{x}_2 \vee x_4) \wedge (x_1 \vee x_2 \vee \bar{x}_3)$$
- Question:
  - Is there an assignment of the variables that satisfies BF ?

# 2-IP-UNI- $\{<, \emptyset\}$ is NP-complete



Known to be a *Difficult problem*  $\longrightarrow$  It is at least as difficult

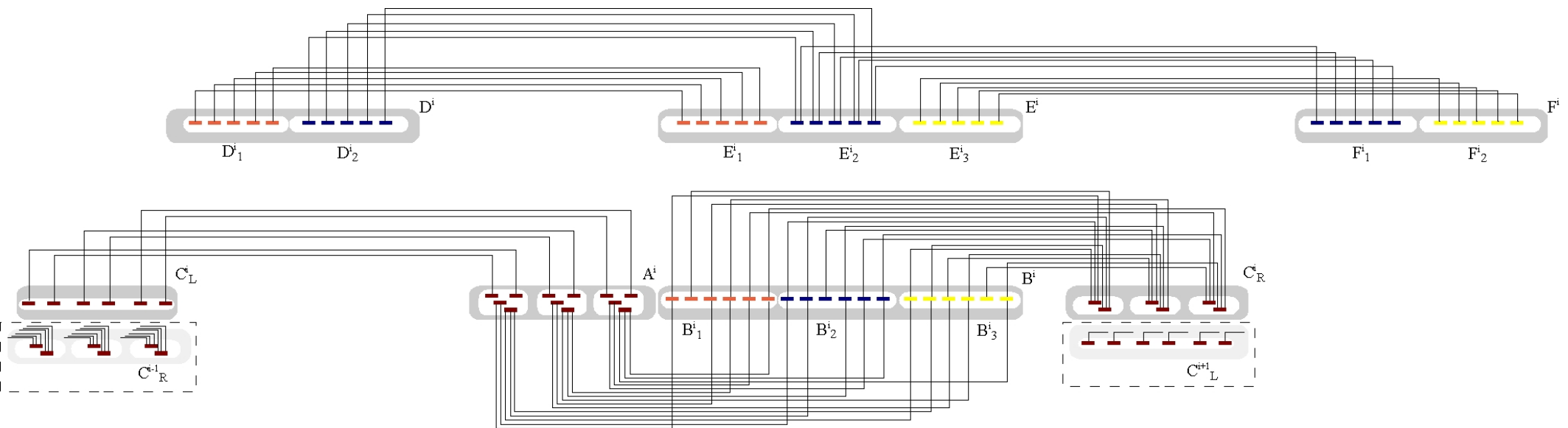
# 2-IP-UNI- $\{<, \emptyset\}$ is NP-complete

- **Exact 3-CNF SAT problem.**

- $BF = C_1 \wedge C_2 \wedge C_3 \dots C_q$

- $V = \{x_1, x_2, \dots, x_n\}$

$$C_i = (\bar{x}_1 \vee x_2 \vee x_3)$$



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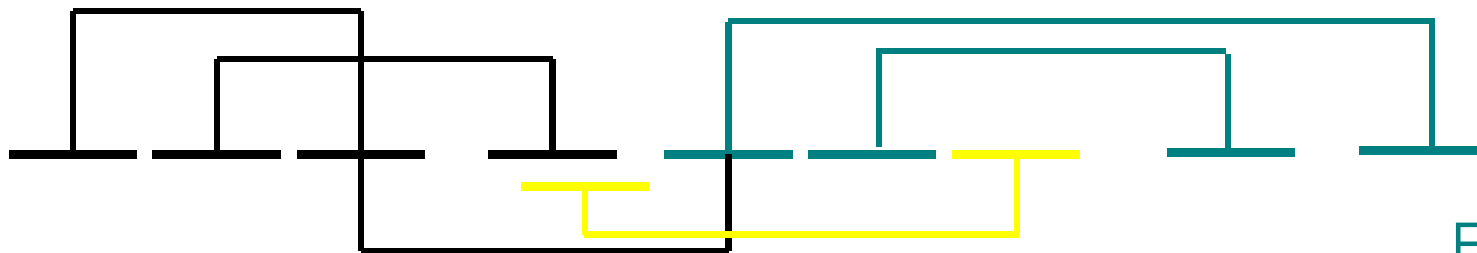
# A fixed-parameter algorithm for $2\text{-IP-UNI-}\{<, \emptyset\}$

- An exact algorithm with strong emphasis on the crossing structure
- Solved for any support by means of dynamic programming in a complexity exponential only in a fixed parameter

# A fixed-parameter algorithm for 2-IP-UNI- $\{<, \emptyset\}$

- Forward Crossing Number of  $D$ :

$$FCrossing(D) = \max_{D_i \in D} |D_i : D_i \cap D_j|$$



$FCrossing = 2$



# A fixed-parameter algorithm for $2\text{-IP-UNI-}\{<, \emptyset\}$

- The problem can be solved by means of dynamic programming in  $O(n^2 \cdot \text{FCrossing}(D) \cdot 2^{\text{FCrossing}(D)} (\log(n) + \text{FCrossing}(D)))$
- We expect  $\text{FCrossing}(D)$  to be small compared to  $|D|$

# Conclusion

2-INTERVAL PATTERN PROBLEM			
	SUPPORT		
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$\{<, \sqsubset, \emptyset\}$	<b>NP-complete</b>		$O(n\sqrt{n})$ [MV80]
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Open Complexity

Is it fixed-parameter tractable with respect to parameter lower than  $\text{FCrossing}(D)$ ?

$\text{Depth}(D) = \max \{ |D'| : D' \text{ is a } \{\emptyset\}\text{-comparable subset of } D \}$

Questions on  
*New results for the  
2-interval pattern problem*

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